

Account # \_\_\_\_\_

Advisor Code \_\_\_\_\_

Case # \_\_\_\_\_

Submit this form to TD Ameritrade Institutional, PO Box 650567, Dallas, TX 75265-0567. For overnight delivery, send to TD Ameritrade Institutional, 7801 Mesquite Bend Drive, Suite 112, Irving, TX 75063-6043.

**1 ACCOUNT OWNER INFORMATION**

|                         |                           |                         |
|-------------------------|---------------------------|-------------------------|
| First Name:             | Middle Initial:           | Last Name:              |
| Social Security Number: | Primary Telephone Number: | Other Telephone Number: |

**2 CONTRIBUTIONS**

- Please make check payable to TD Ameritrade Clearing, Inc. We accept checks payable in U.S. dollars and through a U.S. bank, as well as checks drawn off Canadian banks.
- Acceptable Foreign Instruments (Canadian checks only). **TD Ameritrade receives a referral fee from a third-party service provider on eligible currency exchange transactions. To facilitate the currency exchange process, banks receive revenue based on an assessed currency markup rate.**
- Please include your account number and the tax year of the contribution on the memo section of your check.
- Because contributions must be made in cash, all stock deposits must be 60-day rollovers, direct rollovers, transfers, or Roth conversions.
- Please note: If no tax year indication is made, your contribution will be reported as a current-year contribution.

|              |           |
|--------------|-----------|
| Cash Amount: | Tax Year: |
|--------------|-----------|

**Type of Contribution**  
 **Traditional Contribution**     
  **Roth Contribution**     
  **SEP Employer Contribution**     
  **SIMPLE Contribution**

Please note that SEP and SIMPLE contributions are deposited as current year contributions. Employer is responsible for tracking which tax year intended.

**3 ROLLOVERS/TRANSFERS**

|              |               |                   |
|--------------|---------------|-------------------|
| Cash Amount: | Symbol/Asset: | Number of Shares: |
|--------------|---------------|-------------------|

- Direct Rollover\*** — Irrevocable Qualifying Direct Rollover from my employer’s 401(k), 403(b), profit-sharing plan, money purchase plan, etc., or Roth portion of my employer’s retirement plan, received into a Traditional, Rollover, SEP, or Roth IRA.
- 60-Day Rollover**— Irrevocable Qualifying Rollover processed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable 60-day time period; and that Account Owner is allowed only one rollover per 12-month period. Account Owner further agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover.
- Direct Transfer\***—Transferred from another firm where it was held with the identical account type and/or title.
- COVID-19 Repayment** — Clients have up to 3 years to repay a Coronavirus Related Distribution (CRD) from the day the funds were distributed, if qualified.
- Roth Conversion** — As the result of a distribution from a Traditional IRA, Rollover IRA, SEP IRA, or SIMPLE IRA.\*\*

\* Please be advised that a transfer and a rollover are not the same thing. A rollover is the result of a distribution from another qualified plan or IRA. A transfer is the result of a direct transfer from one institution to another. If there is a doubt, contact the sending financial institution to determine if the funds were processed as the result of a distribution or a transfer.

\*\*Please note that SIMPLE IRA assets cannot be converted until two years after the initial date of funding.

**4 AGREEMENT — BY SIGNING THIS AGREEMENT, I ACKNOWLEDGE THAT:**

My eligibility to contribute is dependent on my tax filing status and personal situation. I understand it is in my best interest to consult a competent tax advisor concerning my specific contribution eligibility and any applicable state laws, which may differ from federal law.

I instruct TD Ameritrade Clearing, Inc. to deposit the funds or securities into my IRA (the “Account”) according to the instructions on this IRA Deposit Slip. I understand that the deposit of funds or securities into the Account may have important and possibly irrevocable tax consequences. I acknowledge that TD Ameritrade, as a discount brokerage firm, does not provide investment or tax advice; that the Account is self-directed; and that I assume full responsibility for this transaction. I release and agree to indemnify and hold harmless TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc., their divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns from liability for any adverse consequences that may result from this transaction.

**By Depositing a foreign instrument, you:**

- **Agree to indemnify and hold TD Ameritrade and the third party service provider, harmless from any and all losses, costs, claims, or financial obligations that may arise from any act or omission with respect to your account and from any and all losses, costs, claims, or financial obligations that may arise from acting upon the instructions provided herein.**
- **Certify that (i) you have the authority to enter into the transactions set forth above; and (ii) you understand that transactions are governed by an account agreement executed by the account owner with TD Ameritrade (the “Agreement”).**



• You understand and agree that the third party service provider, will convert the funds into U.S. Dollars using the conversion rate and transmit the proceeds to the designated recipient. You also acknowledge and agree that TD Ameritrade cannot guarantee when the service provider will post the funds for credit, and this process may take several days, during which the funds will be held by the service provider in its bank account commingled with other assets of that provider, and while there: (i) are not protected by SIPC or TD Ameritrade's excess SIPC coverage, (ii) will not bear interest and (iii) will not be eligible for other earnings credits.

By my signature below, I certify that the information and instructions provided, and the elections made by and through this IRA Deposit Slip, are true and correct. TD Ameritrade Clearing, Inc. may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this IRA Deposit Slip.

Account Owner's Printed Name: \_\_\_\_\_

 Account Owner's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Mailing Address:

**TD Ameritrade Institutional**

PO BOX 650567

Dallas, TX 75265-0567

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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